PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6:

(11) International Publication Number:

WO 96/17673

B01D 63/02, 61/18, B01L 3/00, G01N 1/40

(43) International Publication Date:

13 June 1996 (13.06.96)

(21) International Application Number:

PCT/GB95/02834

(22) International Filing Date:

5 December 1995 (05.12,95)

(30) Priority Data:

ž

9424703.8

7 December 1994 (07.12.94) GB

(71) Applicant (for all designated States except US): FSM TECH-NOLOGIES LIMITED [GB/GB]; 6 Dunrobin Court, North Avenue, Clydebank Business Park, Clydebank G81 2NT

(72) Inventor; and

- (75) Inventor/Applicant (for US only): HOOD, Robert, Gordon [GB/GB]; "Koinonia", 3 Station Rise, Lochwinnoch PA12 4NA (GB).
- (74) Agent: MURGITROYD & COMPANY; 373 Scotland Street, Glasgow G5 8QA (GB).

(81) Designated States: AL, AM, AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, HE, ES, FI, GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE SG, SI, SK, TJ, TM, TT, UA, UG, US, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA. GN. ML, MR, NE, SN, TD, TG), ARIPO patent (KE, LS, MW, SD, SZ, UG).

Published

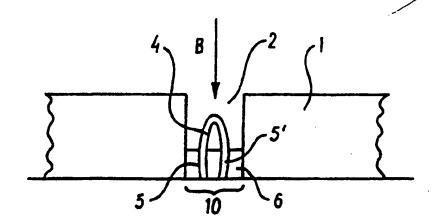
With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: MICRO-FILTRATION DEVICE

(57) Abstract

There is described a filter unit (10) which comprises a hollow fibre membrane fixed into a solid plug (6) and able to communicate with each side of the plug (6). Utilisation of the hollow ficre membrane enables a relatively large filtration area to be exposed to the sample, thus facilitating filtration. For example, the hollow fibre membranes may be in the shape of hoops (4), having their ends (5, 5') passing through the plug (6) and exposed on the far side thereof. The plug (6) is desirably formed from cured adhesive. The filter unit (10) may be located in each well (2) of a



conventional filter tray (1) or may be located in the lumen of a filtration apparatus such as a pipette (11). Optionally the membrane may be treated or coated to react with a component of the sample.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	1E	Ireland	NZ	New Zealand
BJ	Benin	rr	haly	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belanus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgystan	RU	Russian Federation
CF	Central African Republic	ЖP	Democratic People's Republic	SD	Sudan .
CG	Congo		of Kores	. SE	Sweden
CH	Switzerland	KR	Republic of Korea	· S 1	Slovenia
CI	Côte d'Ivoire	KZ	Kazakhstan	SK	Slovakia
CM	Cameroon	u	Liechtenstein	SN	Senegal
CN	China	LK	Sri Lenka	TD	Chad
CS	Czechoslovakia	LU	Luxembourg	TG	Togo
CZ	Czech Republic	LV	Lervia	ŢĴ	Tajikistan
DE	Germany	MC	Monaco	17	Trinidad and Tobago
DK	Denmark	MD	Republic of Moldova	UA	Ukraine
ES	Spain	MG	Madagascar	US	United States of America
FI	Finland	ML	Mali	UZ	Uzbekistan
FR	France	MN	Mongolia	VN	Vict Nam
	Gebon		_		





WO 96/17673

PCT/GB95/02834

```
1
        "Micro-filtration Device"
  2
  3
       The present invention is concerned with the process of
       filtration, particularly micro-filtration, and provides
  4
       a device capable of small volume filtration.
  5
  6
  7
       Filtration is a common separation technique of samples
  8
       and is frequently used in both chemical and biochemical
  9
       processes. In particular, filtration is of utility for
 10
       biological samples where cell debris and other organic
 11
       materials need to be removed. For this reason, many
      medical diagnostic assays require a first filtration
12
13
      step.
14
15
      Generally dead-end filtration is used, in which the
16
      sample is induced to pass through the filter by a
      pressure differential, a portion of the sample being
17
      retained on the filter and the remaining part of the
18
19
      sample (the filtrate) passing through the filter and
20
      being collected in a suitable chamber.
21
22
      Filters may also be used as a convenient matrix on
23
      which to present samples for assay purposes.
24
25
      There is an increasing trend to use smaller volume
```



2

sampl s for filtration and where this is the case it is 1 usual to us a scaled-down filtration apparatus as 2

appropriat . 3

5

6

8

9

10

11 12

13 14

15

16

17

In such small volume filtration procedures, filtration trays or pipettes are generally used. Filtration trays consist of multiple open-ended wells positioned on top 7 of a single sheet of filter paper. The area of each well therefore defines the area of filter available for the filtering operation as the surface area of the filter available for each filtration process is limited to the surface area of the membrane as exposed by the well. Each well can be used to filter a separate sample and the whole tray can therefore be used in. multiple filtration operations. Typically, such filtration trays consist of 24, 48 or 96 separate wells, each well ending with the membrane as the lower surface.

18 19 20

21

22

23

24

26

27

28

29

30

31

32

33

34

. 25

Figure 1A illustrates a cross-section of a single well in a portion of a conventional micro-titre tray adapted for normal use for micro-filtration processes. tray (1) contains numerous filter chambers (2) into which the sample(s) are placed for filtration. Prior to filtration a filter paper (3), which is essentially flat, is fixed firmly to the exterior bottom surface of the filtration tray (1). Once the filter paper (3) is in position the sample to be filtered is poured into the filter chamber (2). Optionally pressure in the direction of arrow A is applied. The pressure forces the sample through the filter paper (3) into a collection chamber (not shown). It is clear from Figure 1A that the surface area available for filtration is limited to the cross-sectional area of the filter chamber (2).

35 36



However, wh re the surface area of the filter is small 1 2 the filtration operation tends to suffer from the following disadvantages: 5 1. The process of filtration may take a long time as 6 the sample has to pass through a relatively small 7 surface area of filter. 8 9 If biological samples are to be filtered the 2. 10 relatively small surface area of the filter is 11 highly prone to being completely clogged with cell 12 debris, fatty deposits or other impurities. 13 14 An alternative conventional filtration operation occurs 15 using a pipette, in which a planar filter is located 16 within or on the tip of the pipette. The liquid sample is taken up into the pipette by suction and is 17 18 filtered. A portion of the sample may be retained on 19 the filter whilst the filtrate is collected within the 20 body of the pipette. Optionally, the tip of the 21 pipette having the filter may be removable (and/or optionally disposable) so that the used filter (and any contaminant contained thereon) can be removed before expulsion of the filtrate. The filter may be treated or coated to react with or bind to a particular component of the sample. An example of a pipette tip having a treated membrane located thereon is the Nuclitips™ DNA extraction system of Amersham Life Sciences Ltd. In the Nuclitips" pipette tip a planar treated membrane is located on the exterior of the pipette tip totally covering the tip's aperture, so that the sample is filtered before entry into the pipette tip and any DNA present in the sample binds to the filter.

. 22

23

24

25

26

27

2 B

29

30

31

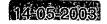
32

33

34

35

36 The present invention provides a filter unit comprising



a hollow fibre membrane fixed into a solid plug. 1 2 3 4

Viewed from another aspect the present invention provid s a membrane for a filter unit in which the membrane has a greater filtration surface area than the cross-sectional filtration area of the filter chamber. Generally the membrane is essentially threedimensional. The membrane may have any convenient shape or configuration.

9

10

5

6

7 8

The term "cross-sectional filtration area" refers to 11 12 the area of a cross-section of the filter chamber over which filtration occurs. Normally this would be the 13 area of the floor of the filter chamber or the internal 14 diameter of a pipette lumen tip. It may be possible to 15 locate the filter part way along the length of the 16 filter chamber. If the walls of the filter chamber are 17 sloping (and therefore the cross-sectional area of the 18 filter chamber varies) the "cross-sectional filtration 19 area" is the cross-sectional area of the filter chamber 20 21 at the point where the filter is located.

22

The membrane according to the present invention is 23 fixed into a solid plug and the plug is adapted to form 24 a tight fit with the internal walls of the filter 25 26 chamber of interest.

27

28 It is important that part of the filter according to the invention communicates with the exterior sides of 29 30 the plug so that the sample placed into the filter chamber (and optionally subjected to pressure to urge 31 32 the sample across the filter) can be separated, the filtrate being collected in a collection chamber placed 33 34 below the filtration apparatus.

35

In one embodiment the filter of the present invention 36

16 17

18 19

20 21

22

23

24

25 26

27

28 29

30 31

32

33

34

35

36

is formed from hollow fibre membranes which are wound 1 round to form a spiral. The spiral may be either two 2 dimensional, that is forms a flat coil, or may be 3 three-dimensional in which case the spiral is wound 4 5 upwardly into a apex. In an alternative embodiment the filter is formed from 7 "U"-shaped hoops of hollow membrane fibres. Preferably several hoops, for example over 10 hoops, especially 20 9 to 50 hoops, are present in each filter chamber. 10 11 12 In a yet further embodiment the filter is formed into hoops as described above, but the upper portion of the 13 14 hoops are bent into an acute angle, thus forming an 15 inverted "V" shape. The angle may conveniently be introduced into the membrane by spot application of heat which welds the sides of the membrane together at the point where heat is applied, thus forming a hinge. In another embodiment, hollow fibre membranes each having a "blind" or closed end may be used. arrangement the blind ends may be exposed to the For example, multiple short lengths of hollow fibres may be used, the blind end of each fibre being exposed to the sample whilst the open ends are potted into the plug and communicate with the filtrate chamber. Conveniently the blind ended fibres diverge away from a central portion of the plug into which the fibres have been potted. In an alternative embodiment using blind ended hollow fibre membranes, short lengths of the fibres are cut. and joined together at the apex (thus closing their lumens at that point) into a "teepee"-like shape. apex is exposed to the sample whilst the opposite ends

of the membrane fibres pass through the plug and are



PCT/GB95/02834 WO 96/17673

exposed on the opposite side thereof. 1

2

The filter of the pres nt invention is located within 3

the filter chamber by means of the plug.

forms a tight fit with the inside surfaces of the 5

filter chamber. It is essential that the plug and

filter chamber walls form a seal, as the sample to be 7

filtered could otherwise pass through the gap between 8

the plug and the interior of the filter chamber. 9

filter itself is at least partially embedded within the 10

11 pluq.

12

The plug will normally be formed from adhesive, usually 13

cured adhesive. Any material capable of forming a seal 14

with the membrane fibres and the filter chamber may be 15

16 used.

17

22

The adhesive used to form the filter plug of the 18

present invention may be any adhesive material which 19

does not react with the membrane or filter chamber 20

materials in a deleterious manner. Preferably the 21

adhesive material is quick setting, ie cures within

minutes, for example under 5 minutes. For certain 23

embodiments adhesive material which cures upon exposure 24

to light is particularly desirable. For example in 25

medical applications it may be preferred to use 26

adhesive which cures upon exposure to blue light, 27

especially UV light. 28

29

Suitable adhesive material is commercially available 30

and mention may be made of polymers available from 31

Ablestick Ltd (for example LCM 32, LCM 34 and LCM 35), 32

Bostick Ltd or Dynax Inc (eg 191M) as being suitable UV 33

curing adhesives. 34

35

In the invention it is essential that one portion of 36



the membrane is exposed to the unfiltered sample and 1 that another portion of the membrane communicat s with 2 the collection chamber. For example, where the filter 3 is a two-dimensional spiral, the spiral will b fixed into the plane of the plug, with one surface facing the 5 filter chamber and the other surface facing the б 7 collection chamber. In this embodiment the filtrate must undergo two filtering operations, firstly across 8 9 the membrane into the lumen of the hollow fibre and secondly from the lumen to the collection chamber side . 10 of the filter. Where the filter is in a hoop-like or 11 inverted "V" configuration, the ends of the hoop or 12 inverted "V" are located within the plug and pass 13 through the plug so that the lumen of the cut ends of 14 the hollow fibre membrane are exposed to the collection 15 chamber side of the filter apparatus. 16 embodiment the sample passes through the hoop or 17 18 inverted "V" part of the filter into the lumen thereof and runs down to the ends of the lumen and out into the 19 20 collection chamber.

21

Viewed from one aspect the present invention comprises
a filtration device having at least one filter chamber
containing a hollow fibre filter potted into a solid
plug. The surface area of the filter is desirably
greater than the cross-sectional area of the filter
chamber floor.

28

29 Conveniently the filtration apparatus comprises 30 multiple filter chambers, each having an individual 31 filter. For example, the apparatus of the invention 32 - may be a tray of any suitable material (for example 33 plastics), having multiple wells therein (eg. 24, 48 or 34 96 wells), each well being capable of being a filter 35 chamber. Alternatively, the apparatus may be in the form of a pipette or a pipette tip. The filter unit is 36





sealed into the lumen of the pipette or pipette tip 1 2 3

- creating an internal volume within the pipette or tip
- which may only be accessed by the sample passing across 4
- The internal volume so formed acts as the 5
- filtration chamber. Following filtration of the 6
- sample, the pipette tip containing the filter unit may 7
- be removed, for example may be snapped off, and the 8
- filtered sample may be simply expelled from the
- pipette. Alternatively, filtrate may be expelled via 9
- an alternative opening in the device or may be expelled 10
- back through the original opening, passing through the 11 12
- filter again. The pipette embodiment may also be used
- to detect the presence of a component with the sample, 13
- the component binds to the filter and is then detected. 14
- The filtrate is a by-product in this embodiment. 15 16
- the portion of the pipette or tip containing the filter 17
- and the component of interest may be removable as 18
- described above, facilitating measurement, detection or
- 19 20
- For convenience, the filter chamber and, optionally, 21 22 23
- the plug as well are transparent or translucent being
- formed from optically clear materials to enable 24
- monitoring of filtration and/or the output from any 25
- assay that can be measured by optical means. 26
- 27 The present invention also provides a process for 2B
- separating a sample by filtration, in which the sample 29
- is passed through a filter as described above. 30
- 31 The membrane material may be any suitable membrane, and 32
- selection of the membrane type will depend upon the
- filtering process in question. Examples of suitable 33 34
- membrane materials include polysulfone, cellulose, 35
- cellulose diacetate and/or polypropylene.
- 36 membranes, cellulose nitrate, polytetrafluoroethyl ne Nylon filter

W 96/17673

1

24

25

26

27

28

29

30

31

32

33

34 35

36

হারা

PCT/GB95/02834

(PTFE), polyvinylidene difluoride (PVDF) and glass 2 fibres can also be used. 4 A wide variety of such membranes are commercially 5 available and can be bought with a range of pore sizes 6 so that selection of the filter to suit the sample can 7 be made. 8 9 The membrane is in the form of a hollow fibre and 10 desirably the internal diameter of the hollow fibre is small, for example is under 2mm, especially is under 11 12 The internal diameter of the hollow fibre may be 13 500μm or less, for example 300μm or less. 14 15 To produce the filters according to the present 16 invention a bundle of hollow fibre membranes are taken. 17 The bundle may contain any convenient number of 18 membrane fibres, but normally will contain from 5 to 50 19 membrane fibres, for example 10 to 20 membrane fibres. 20 For example, to produce the "teepee" type of 21 arrangement the bundle of membrane fibres is welded by 22 a spot application of heat at intervals along the 23 membrane bundle. Approximately equi-distant from two welds an adhesive plug is formed using adhesive, preferably quick-setting adhesive, and mention may be made of LCM 32 and LCM 35 of Ablestick Ltd. Optionally the plug shape is predetermined either by fitting a collar around the membrane bundle (and the collar may be fitted either before or after the welding operation has taken place) or by placing the membrane bundle into a suitable mould and injecting the adhesive to fill the available space. Once the adhesive is set the plug is chopped in half transversely, for example using a scalpel, razor blade or guillotine. Thus two plugs are formed, into each of which the lumen of each membrane fibre is flush with the newly created plug



06706 USERO WO 96/17673 PCT/GB95/02834 10

surface. At this stage in th procedure there is 1 2

- obtain d a shorten d membrane bundle having an adhesive 3
- plug at each ind, and approximately in the centre 4
- thereof a point where the membrane fibres are welded 5
- together. The weld is then cut in half and two filters 6
- according to the present invention are formed.
- weld may be cut by use of a scalpel, guillotine or 7 8 razor blade.

9

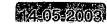
- It is also possible to modify the process so that the 10 11
- welds are cut before the adhesive plug is cut in half
- or even before formation of the adhesive plug. 12 13
- filter formed by this process is then inserted into a 14
- filter chamber by press-fitting the plug into the 15
- aperture of the filter chamber.
- the inside walls of the filter chamber form a tight 16 The adhesive plug and
- 17

18

- To form the hoop or "V" shaped filter the membrane 19 20
- fibre bundle is first bent into a "U" shape, for 21
- example bending the membrane bundle around a suitable 22
- forming rod. An adhesive plug is then formed in a 23
- 24
- similar manner as that described above, namely either
- by insertion of the "U" shaped membrane bundle into a 25
- suitable mould or by fitting a collar around the bundle 26
- and then filling the collar or the mould with adhesive, 27
- followed by curing, if necessary. The inverted "V" 28
- shaped filter is formed from the hoop-shaped filter and 29
- comprises the additional step of spot welding the hoop 30
- at the apex so that a sharp corner is formed where the 31
- heat is applied. The inverted "V" shaped filter is 32
- preferable in some circumstances since this 33
- configuration may be easier to insert into the filter chamber. 35
- 34
- Alternatively, the hoop or inv rted "V"-shaped filter 36

1	may be produced by forming a shortened membrane bundle
2	with an adhesive plug at each end as described above.
3	The shorten d membrane bundle may then be formed into a
4	"U"-shape and the plugs affixed together side-by-side
5	(for example by glueing) to give the required plug
6	shape. For the "V"-shaped embodiment application of
. 7	the heat to create the acute angle required may occur
8	either before or after the plug ends are glued together
9	to form the final plug required.
10	
11	For the purpose of simple "dead-end" filtration the
12	filtration chamber will normally be located
13	substantially vertically. However, it is equally
14	possible for the filters to be used in a filtration
15	device which is arranged away from the vertical. In
16	such an embodiment it may be necessary for pressure
17	means (such as a pump, especially a suction pump) to be
18	provided in order to filter the sample.
19	
20	The filters of the present invention are equally
21	applicable for a "cross-flow" filtration apparatus and
22	still provide the advantages obtained by presenting a
23	relatively large surface area in a small filtration
24	chamber.
25	
26	The filters of the present application are also of use
27	as a matrix on which to present a test substance for
28	assay. The relatively large surface area of the filter
29	enables a concentration of the test substance and thus
30	amplification of the test result is possible.
31	
32	The present invention will now be described with
33	reference to the drawings in which Figs. 1B to 7 show
34	configurations of the membrane within the unit and
35	Figs. 8 and 9 show one use of the unit in a pipette
36	tip. In more detail:





12

Fig. 1A illustrates a conventional filtration tray 1 1 2 with well 2 and filter pap r 3 as discussed above. 3

Figure 1B illustrates a single well in a portion of a 4 filtration apparatus in the form of a filtration tray 5 having a filter of the present invention embedded in a 6 plug as described above. Figure 1B illustrates a 7 cross-section of a portion of a filtration tray 1. A 8 filter chamber 2 is illustrated and contains at the 9 10 bottom thereof a filter unit 10 according to the invention. Unit 10 consists of a solid plug 6 which 11 forms a tight fit with the internal walls of the lumen 12 of the filter chamber 2. The plug 6 may be located in 13 14 the filter chamber 2 either by virtue of the resilient nature of the plug 6 itself or by application of 15 16 adhesive between the plug 6 and the inside walls of the filter chamber 2. A hollow fibre membrane is shown in 17 the form of a hoop 4, the ends 5,5' of the hoop 4 being 18 held within plug 6. For simplicity only one hoop 4 is 19

20 illustrated in Figure 1B although generally several 21

such hoops which may be the same, similar or of varying

sizes may be present each having their ends 5,5'

23 located in plug 6.

24

22

25 Figure 2A shows a schematic cross-section of a filter unit 10 according to the present invention. 26 10 illustrated in Figure 2A has a membrane in a spiral 27 configuration being either the two-dimensional coil or 28 alternatively the lower coil of the three-dimensional 29 spiral as shown in Figure 5. As illustrated in Figure 30 2A the plug 6 forms a tight fit with the internal walls 31 1,1' of the filter chamber 2. There is no gap between 32 the sides 1,1' of the filter chamber 2 and plug 6. 33 Embedded within the plug 6 is a membrane in the form of 34 a hollow fibre. The upper surface 8 of the hollow 35 fibre is xpos d to the untreated sample which is added 36





26

27

28

29

30

31

32

33

34

35

36

PCT/GB95/02834

into filter chamber 2. 1 The lower surface 9 of the 2 hollow fibre is exposed from plug 6 and permits the 3 filtrate to pass through into the collection or 4 filtrate chamber (not shown). 5 6 In use a liquid sample is inserted into filter chamber 7 Selective filtration of the sample occurs with the filtrate passing through the upper surface 8 of the 8 9 membrane fibre into the lumen 7 thereof. From lumen 7 the filtrate passes through the lower surface 9 of the 10 hollow fibre into a collection or filtrate chamber (not 11 shown). Optionally a downwardly pressure is applied 12 13 either by a positive pressure onto the sample in the 14 filter chamber 2 or a negative pressure from the filtrate collection side, to draw the filtrate through 15 16 . the filter unit 10. 17 Figure 2B illustrates an embodiment of the invention 18 19 when the filter unit 10 has a membrane arranged in the 20 configuration of a hoop. Again, plug 6 forms a tight 21 fit with the internal walls 1,1' of filter chamber 2. 22 In this embodiment the hollow fibre membrane is 23 positioned with its free ends 5,5' exposed on the 24 filtrate collection side of plug 6, with the main body 25 of the membrane being present in the filter chamber 2. The dotted lines extend the hollow fibre upwardly into the filter chamber 2 but are not drawn to scale. It is also possible that instead of the filter being bent into a hoop as illustrated in Figure 2B the two strands come together into an apex in which the sides of the fibre are spot welded together through the application of heat or adhesive. In use the liquid sample is placed into a filter chamber 2 and separation of the

sample takes place as components of the sample migrate

through the surface of the membrane into the lumen 7 of

the hollow fibre. The filtrate pr sent in lumen 7

WO 96/17673

PCT/GB95/02834

	. 14	
	travels down the hollow fibre membrane and is coll from the free ends 5,5' beneath which	
	from the free ends 5,5' beneath which is located a collection vessel (not shows)	ected
	In Figure 2B the free ends 5,5' of the hollow fibre membrane are shown passing them.	
	6 membrane are shown passing through plug 6 and 7 protruding therefrom	?
8	ends 5,5' to be flush with the lower surface of plush and indeed for ease of manufacture.	е
9 10	and indeed for ease of manufacture of the filter un:	g 6
11	10 this configuration may be preferable.	it
12	•	
13	rigule JA is a top view of -	
14	according to the present invention, in the form of a	nit
15	two-dimensional spiral. Figure 3B illustrates the s	
16	view of the same membrane. The spirally arranged	ide
17		
18	a plug (not shown) to form a filter unit.	i.n
19		
20	Figure 4 is a perspective view of a membrane for a	
21		
22	present invention, the membrane being formed of short	
23	strands of membrane fibres affixed together at the ape	a v
24	into a "teepee" arrangement. The lower ends of each	••
25	membrane strand are embedded within a plug (not shown)	
26	so that the lumen of each strand is free to discharge	
27	filtrate into a collection chamber (not shown).	
28		
29	Figure 5A is a side view of a further embodiment of a membrane according to the pro-	
30	membrane according to the present invention in the form of a three-dimensional spiral filter, with Figure 5B	
31	illustrating the top view of all	
2 .	The lower portion of the spiral is embedded within a plug (not shown) so that the	
3	plug (not shown) so that the lower end of the filter is	
4	exposed on the filtrate collection side of the plug.	
5 .		

Figure 6 is a schematic representation of a filter unit

15

10 according to the present invention having a plug 6 1 2 through which the hollow fibre membrane strands are 3 each formed in the shape of a hoop 4. Multiple hoops 4 4 are present, each having their ends passing through an 5 adhesive plug 6, the lumen of each membrane strand being exposed on the lower surface of the plug 6. 6 7 Figure 6 four membrane fibre hoops 4 are illustrated 8 for the purpose of simplicity but it is also possible 9 for many more hoops to be present in each plug 6, for 10 example up to 20 hoops. The hoops may either be of the 11 same or similar size as illustrated in Figure 6 or may 12 be of varying sizes, that is to say the height of the 13 hoop 4 may vary. Within one preferred embodiment each filter unit 10 is composed of sets of hoops 4, each 14 15 hoop 4 set being of different size. possible for the axis of each set of hoops to be 16 17 located in a different directions within the plug 18 relative to each other.

19 20

21

22

23

24

25

CONTRACTOR OF THE STATE OF THE

Figure 7 illustrates schematically a further embodiment of the invention in which the filter unit 10 is formed from hollow fibre membranes in the configuration of an inverted "V". Again, Figure 7 only shows four such strands 4' for purposes of simplicity but it may be possible to have far more strands present on each plug 6.

26 27

36

28 Figure 8B illustrates device 11 incorporating a filter 29 unit 10 according to the invention. The filter unit 10 30 is sealed into an interior lumen of device 11, here 31 illustrated as a disposable pipette tip. 32 unit 10 shown comprises plug 6 incorporating therein 33. hoops 4 of hollow fibre membrane. However, any 34 alternative filter unit 10 described above would also 35 be suitable for use in device 11.

WO 96/17673

PCT/GB95/02834

E00703-WS936

- 1 In us a liquid sampl 16 2 3
- driven upwardly in the direction of the arrow by the
- suction pressure created within the pipette apparatus
- (shown generally in Fig. 8A). The filtrate passes 4 5 6
 - through the filter unit 10 as previously described into
- the collection area 14. Optionally, components of the
- filtrate may be localised on the upper hydrophobic 7 8
- membrane 12 but normally hydrophobic membrane 12 is 9
- used to repel the liquid sample, which may for example 10
- of an aqueous nature. The filtrate is therefore 11
- prevented from entry into chamber 16 and is instead retained within collection area 14. 12
- 13
- In the pipette embodiment illustrated in Figure 8B a 14 15
- snap point 13 is shown which enables the lower portion 16
- 17 of the pipette tip to be detached from the upper
- portion 18. Portion 17 of the tip 11 may then be 17
- 18
- disposed of in situations where the components of 19
- interest are located on hydrophobic membrane 12 or 20
- . where the filtrate sample of interest is retained 21
- within storage area 14 the filtered sample can be 22
- simply poured into a further vessel for easy handling 23 and/or further processing.
- 24
- In a yet further embodiment the filter unit 10 may 25 26
- retain the component of interest on the hollow fibre membrane strands.
- 27
- The filtrate in this embodiment may be of no interest and, following removal of portion 17 28 29
- by cleavage at snap point 13, the filtrate collected in 30
- storage area 14 may be thrown away and the filter unit - 31
- carefully washed to remove the bound sample of interest 32 located on the hollow fibre membrane.
- 33
- 34 Figure 8C illustrates an alternative device 11 also 35
- containing a filter unit 10 as described above in 36
- Hydrophobic membrane 12 is also

29

30

31

illustrated. 1 2 The device shown in Figure 8C comprises a non-return 3 valve 19 immediately above filter unit 10. 4 filtration of a liquid sample causes the filtrate to 5 collect in storage area 14 which is bounded by 6 7 hydrophobic membrane 12 and the non-return valve 19 immediately above filter unit 10. To expel the 8 filtrate, positive pressure is exerted by means of the 9 pipette apparatus illustrated in Figure 8A, and this 10 11 causes the filtrate to be expelled through aperture 22 of arm 20 which optionally contains a non-return valve 12 13 21. 14 15 Figure 9 illustrates an alternative device 11 containing a filter unit 10 according to the invention. 16 17 Filter unit 10 comprises plug 6 and hoops 4 of hollow membrane fibres. Only 3 hoops are illustrated in the 18 19 unit 10 as shown for the purposes of simplicity. number and size of the hoops 4 may vary as required. 20 21 Likewise, it is possible to alter the configuration of 22 the membranes within the filter unit as required. device 11 as illustrated a primary membrane 23 covers 23 the aperture of the pipette tip. The primary membrane 24 25 23 serves to exclude course matter from the liquid sample admitted into the lumen of the pipette tip, thus 26 27 avoiding clogging of the hollow fibre membranes by 28 large particulate matter. In the device illustrated hydrophobic membrane 12 is located immediately above snap point 13 and the device operates in a similar

manner to that described in Figure 8B.



PCT/GB95/02834

1	CLA	<u>ims</u>
2		
3	1.	A filter unit comprising a hollow fibre membrane
4		fixed into a solid plug and able to communicate
5		with each side thereof.
6		
7	2.	A filter unit as claimed in Claim 1 wherein the
8		membrane has a greater filtration surface area
9		than the cross-sectional area of the plug.
10		
11	3.	A filter unit as claimed in either one of Claims 1
12		and 2 wherein said membrane is non-planar.
13		
14	4 .	A filter unit as claimed in any one of Claims 1 to
15		3 wherein said plug is adapted to form a tight fit
16		with the internal walls of a filter chamber.
17		
18	5.	A filter unit as claimed in any one of Claims 1 to
19		4 wherein said plug is transparent or translucent.
20		·
21	6.	A filter unit as claimed in any one of Claims 1 to
22		5 wherein said plug is formed from adhesive.
23		
24	7.	A filter unit as claimed in Claim 6 wherein said
25		plug is formed from UV or light curable adhesive.
26		
27	8.	A filter unit as claimed in any one of Claims 1 to
28		7 wherein said membrane is selected from
29		polysulphone, cellulose, cellulose diacetate,
30		polypropylene, nylon, cellulose nitrate,
31		polytetrafluoroethylene, polyvinylidene difluoride
32		and/or glass fibres.
33		
~ 4	_	s ciars unit as slaimed in any one of Claims I to

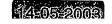


9. A filter unit as claimed in any one of Claims 1 to 8 wherein the internal diameter of the hollow fiber membrane is less than 2mm.





1	10	. A filter unit as claimed in Claim 9 wherein the
2		int rnal diameter of the hollow fibre membrane is
3		500µm or less.
4		·
5	11.	A filter unit as claimed in any one of Claims 1 to
6		10 having a single hollow fibre membrane wound
7		into a spiral configuration.
8		
9	12.	A filter unit as claimed in any one of Claims 1 to
10		11 having a hoop shaped hollow fibre membrane,
11		both ends of which pass through the plug and are
12		exposed on one side thereof.
13		
14	13.	A filter unit as claimed in Claim 12 having 20 or
15		more such hoops located in said plug.
16		
17	14.	A filter unit as claimed in any one of Claims 1 to
18		10 having a blind ended length of hollow fibre
19		membrane, the blind end being exposed to the
20		sample.
21		
22 23	15.	in the distribution in Claim 14 wherein the
23 24		blind ends diverge from each other.
24 25	16	A Silban with an all the same
25 26	10.	A filter unit as claimed in any one of Claims 1 to
27		15 having a treated or coated membrane.
28	17.	A device having a filter unit as claimed in any
29	1,,	one of Claims 1 to 16 located therein.
30		one of claims I to it located therein.
31	18.	A device as claimed in Claim 17 wherein the
32		portion of said device containing said unit is
13		separable from the remainder of the device.
4		
5	19.	A device as claimed in either one of Claims 17 and
6		18 having a non-return valve located between said
		Deaded Detween Salu

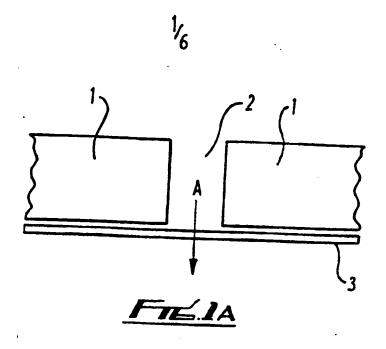


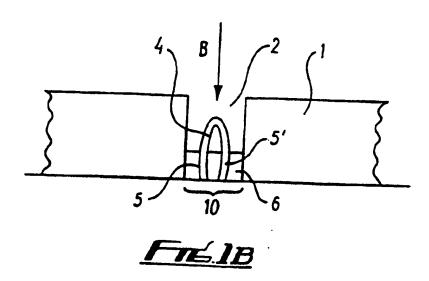
WO 96/17673 PCT/GB95/02834

		20
1	•	filter unit and a collection chamber for the
2		filtrate.
3		·.
4	20.	A device as claimed in any one of Claims 17 to 19
5		having an aperture dedicated to expelling the
6		filtrate.
7		
8	21.	A device as claimed in any one of Claims 17 to 20
9		having multiple filter units according to any one
10		of Claims 1 to 16.
11	٠	
12	22.	A process of forming a filter unit as claimed in
13		any one of Claims 1 to 16, said process comprising
14		the following steps:
15		
16		a. obtaining a membrane in the form of hollow
17		fibre(s), optionally cutting said fibre(s) to
18		the required size and/or conforming said
19		fibre(s) to the required shape;
20		
21		b. forming a solid plug at a required location
22		around said fibre(s); and
23		
24		c. optionally trimming the ends of the fibre(s).
25		
26	23.	A process as claimed in Claim 22 wherein the
27		hollow fibre(s) are treated to produce a blind end
28		at one end thereof.
29		
30	24.	A process of filtering a sample, said process
31		comprising passing said sample through a filter
32		unit as claimed in any one of Claims 1 to 16
33		
34		



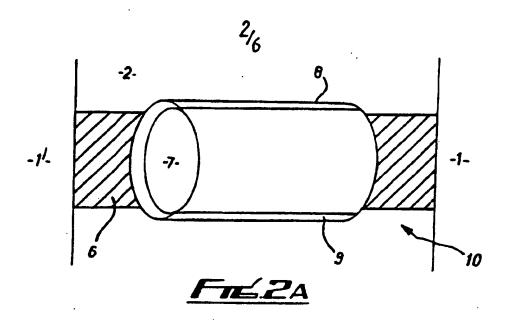


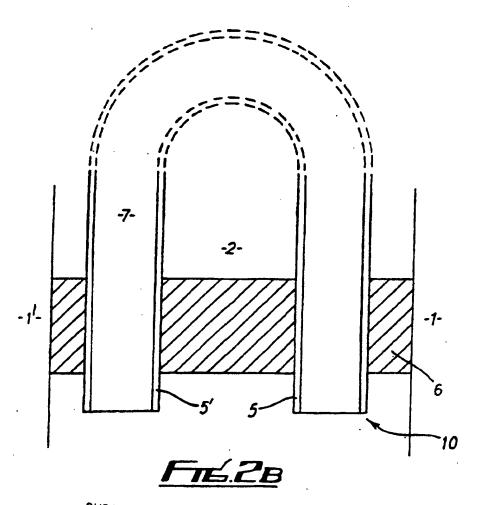




WO 96/17673



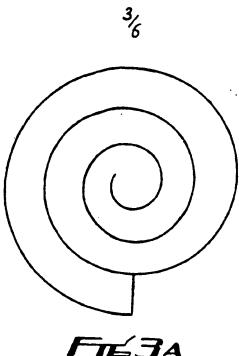






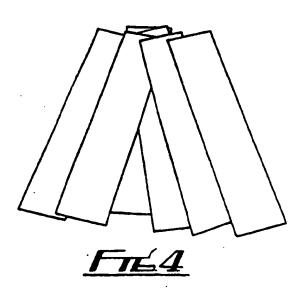
WO 96/17673

PCT/GB95/02834

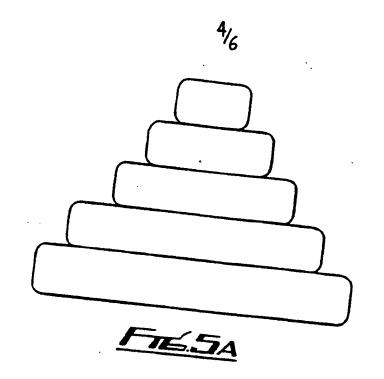


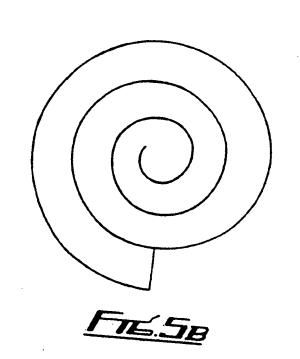
Fre3A



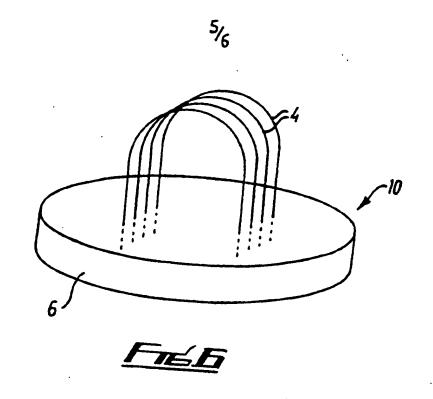


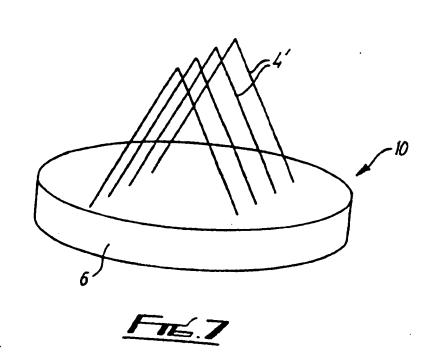
88809X08-N2880898





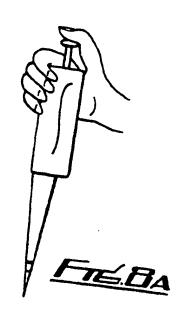
PCT/GB95/02834

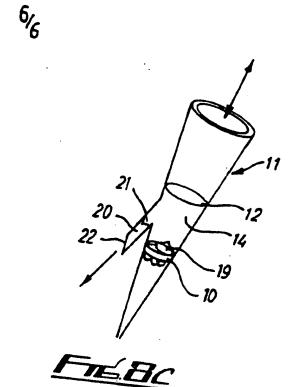


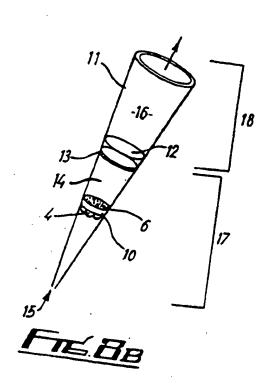


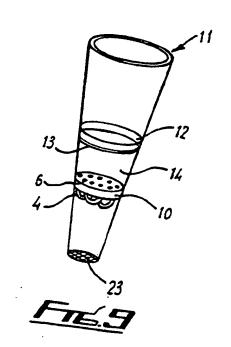


PCT/GB95/02834









INTERNATIONAL SEARCH REPORT

PCT/GB 95/02834

A. CLASSIFICATION F SUBJECT MATTER IPC 6 B01D63/02 B01D61 B01D61/18 B01L3/00 G01N1/40 According to International Patent Classification (IPC) or to both national classification and IPC **B. FIELDS SEARCHED** Minimum documentation searched (classification system followed by classification symbols) BOID BOIL GOIN IPC 6 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) C. DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. X PATENT ABSTRACTS OF JAPAN 1-4,6,8, vol. 12 no. 344 (C-528) ,16 September 1988 9,12-14, & JP, A, 63 104615 (ASAHI CHEM IND CO LTD) 17,20, 10 May 1988. 22-24 see abstract Α 5,7,10, 15, 16, 21 & DATABASE WPI. Section Ch, Week 8824 Derwent Publications Ltd., London, GB; Class A88, AN 88-165566 see abstract X GB,A,2 173 711 (TOYO SODA MFG CO LTD) 22 1-4,6,8, October 1986 9,14,17, 24 see the whole document -/--X Further documents are listed in the continuation of box C. X Patent family members are listed in annex. Special categories of cited documents: later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the 'A' document defining the general state of the art which is not considered to be of particular relevance invention earlier document but published on or after the international "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone filing date *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "O" document referring to an oral disclosure, use, exhibition or document published prior to the international filing date but later than the priority date claimed "A" document member of the same patent family Date of the actual completion of the international search Date of mailing of the international search report 26-04-1996 10 April 1996 Name and mailing address of the ISA Authorized officer European Patent Office, P.B. 3818 Patentiaan 2 NL - 2280 HV Rijavije Td. (+31-70) 340-2040, Tz. 31 651 epo nl. Faz: (+31-70) 340-3016 Hoornaert, P

Form PCT/ISA/210 (second sheet) (July 1992)

page 1 of 2

1

INTERNATIONAL SEARCH REPORT

nal Application No

	PCT/GB 95/		/02834	
· 	ason) DOCUMENTS CONSIDERED TO BE RELEVANT			
regory .	Citation of document, with indication, where appropriate, of the relevant passages		Relevant to claim No.	
ζ	EP,A,O 315 252 (AKZO NV) 10 May 1989		1-4,6, 8-11,14, 17,18,24	
	see the whole document		,20,27	
	US,A,5 228 992 (DEGEN) 20 July 1993		1-4,6,8, 9,12,13, 22	
	see the whole document			
	FR,A,2 393 662 (CORDIS DOW CORP) 5 January 1979 see claims 1,2,6,7; figures 2-4 see page 7, line 28 - page 8, line 1 see page 10, line 23 - page 11, line 18		22	
	PATENT ABSTRACTS OF JAPAN vol. 17 no. 258 (P-1540) ,20 May 1993 & JP,A,05 002021 (KONICA CORP) 8 January 1993, see abstract & DATABASE WPI Section Ch, Week 9306 Derwent Publications Ltd., London, GB; Class B04, AN 93-049943 see abstract		1-4,8,17	
			•	
			_	
			,	

page 2 of 2

1

INTERNATIONAL SEARCH REPORT

unformation on patent family members

Interp and Application No
PCT/GB 95/02834

Patent document cited in search report	Publication date	n Patent family member(s)		Publication date
GB-A-2173711	22-10-86	JP-A-	61209010	17-09-86
		DE-A-	3608062	09-10-86
		US-A-	4690754	01-09-87
EP-A-315252	10-05-89	CA-A-	1320142	13-07-93
		DE-D-	3852375	19-01-95
	•	DE-T-	3852375	24-05-95
		ES-T-	2065332	16-02-95
•		JP-A-	1151909	14-06-89
		US-A-	4995967	26-02-91
US-A-5228992	20-07-93	EP-A-	0559149	08-09-93
		JP-A-	5337342	21-12-93
		US-A-	5445771	29-08-95
FR-A-2393662	05-01-79	US-A-	4138460	06-02-79
		AT-B-	375837	10-09-84
		AU-B-	511941	11-09-80
		AU-B-	3656378	06-12-79
•		BE-A-	868006	11-12-78
		CA-A-	1106124	04-08-81
		CH-A-	625128	15-09-81
		DE-A-	2824934	21-12-78
		GB-A-	1600448	14-10-81
		JP-C-	1311545	11-04-86
		JP-A-	54006922	19-01-79
	•	JP-B-	60035448	14-08-85
		NL-A-	7805968	12-12-78
		SE-B-	446305	01-09-86
		SE-A-	7806713	11-12-78

Form PCT/ISA/218 (patent family annex) (July 1972)



